

**HUNTLEIGH SECURITIES CORPORATION**  
**(and Affiliated Companies\*)**  
**PRIVACY POLICY**

At Huntleigh Securities Corporation, we understand that confidentiality and trust are key elements of any financial relationship. Because you have entrusted us with personal information, including your financial situation and goals, we make safeguarding that information and protecting your privacy a top priority.

We are providing this Privacy Statement to you in accordance with legislation requiring financial firms to disclose their processes for obtaining, using and protecting customer information. You will receive a copy of our Privacy Statement on an annual basis, and this policy may also be found on our website, at [www.hntlgh.com](http://www.hntlgh.com).

**Huntleigh Securities Corporation does not sell your personal information to anyone.**

**Collection of Information:** Nonpublic personal information includes much of the information you provide to us and the related information about your transactions and your account(s) at Huntleigh. Examples of nonpublic personal information include the information you provide on the new account form, your account balance or transactional history, and the fact that you are a customer of Huntleigh Securities Corporation.

We collect nonpublic personal information about you from the following sources:

- Information we receive from you on applications or other forms
- Information about your transactions with us or our affiliates
- Information about your transactions with nonaffiliated third parties, such as providers of mutual funds, annuities, insurance and other investments offered through Huntleigh or its affiliates
- Information we may collect from other nonaffiliated third parties, such as marketing research firms
- Information we may receive from a consumer reporting agency

**Disclosure of Information:** We do not disclose any personal information about our customers or former customers to anyone, except as required or permitted by law, or upon your written consent. In the course of providing securities services, we may disclose the information we collect to our affiliates or to companies which perform services on our behalf, such as account administration, transaction processing, marketing services, and processing and delivery of account statements and other documents, or to other financial institutions with whom we have joint marketing agreements.

**Security of Information:** We restrict access to your nonpublic personal information to personnel who are necessary or appropriate to provide products or services to you. We maintain physical, electronic, and procedural safeguards to protect your nonpublic personal information.

**Right to Opt Out:** Huntleigh Securities Corporation does not currently disclose nonpublic personal information of its customers to nonaffiliated third parties, except as described above. If, in the future, this policy changes, you will be notified and given an opportunity to opt out of having this information shared.

If you have questions about our privacy policy, please contact your Financial Advisor, or our Customer Service Department, at (314) 236-2400 or (800) 727-5405.

Thank you for your continued trust and confidence in Huntleigh Securities Corporation.

*Huntleigh Securities Corporation's affiliated companies are: Huntleigh Advisors, Inc., K.W. Chambers & Co., and Datatex Investment Services, Inc.*