



Fee Schedule (as of May 2016)

Account Fees

IRA Annual Fee	\$65
IRA Termination Fee	\$95 (waived at age 70 ½)
TOD Account (at distribution)	\$100
Annual Inactive Account*	\$65
Annual Inactive UTMA Account*	\$45
Annual Safekeeping Fee	\$25 per security, up to \$250
Privately-Held Securities	\$100 per security, up to \$600

Service Fees

Account Transfer

ACAT (account transfer)	\$125
(Additional charge for foreign securities)	

Cash

Domestic Wire	\$25
International Wire	\$40
Stop Payment	\$25
Return Check	\$25
Prepayment	\$15 plus interest

Money Market Processing

Basic Account (checkwriting only)	No fee
Advantage Account (Annual Fee)	\$125
Check Reorder	\$9

Security Processing

Transfer and Ship	\$250
Transfer and Ship (rush delivery)	\$500
GNMA Certificate Issuance	\$250
Physical Reorganization	\$50 - \$250
Foreign Security Issuance	\$250
Restricted Securities Clearance (Rule 144)**	\$250
Physical Certificate Reject	\$50
DRS Reject	\$75
UIT Liquidation	\$25
No-load Mutual Fund Transaction	\$25

Miscellaneous

Copy of Aged Monthly Statements	\$10 per statement
Copy of Confirmations, Certificates & Miscellaneous Documents	\$5 per item
Overnight Delivery	\$15
Replacement of Lost Certificates**	\$100
Account Research and Document Retrieval	\$25 per hour
Postage and Handling	\$5.75 per transaction

* Accounts are deemed inactive if fewer than 6 trades are billed during the 12-month period July 1 – June 30. Inactive fees are charged in September.

** Additional charges may apply for out-of-pocket expenses.

All fees subject to change.